



American Recovery and Reinvestment Act of 2009 Certifications and Reporting Requirements

Federal transportation agency reports (Posted to [national recovery Web site.](#))

- **Section 1201(c) Periodic Reports** – Transmitted to Congress by the covered federal agency (Due no later than 90 days after the date of enactment of ARRA, and updated reports no later than 180 days, 1 year, 2 years, and 3 years after such date of enactment)
- **Section 1512(c) Recipient Reports on Use of Funds** - Transmitted to Congress by the covered federal agency (Due no later than 10 days after the end of each calendar quarter)
- **Section 1609 (c) - National Environmental Policy Act** – Federal report (The President shall report to the Senate Environment and Public Works Committee and House Natural Resources Committee every 90 days following the date of enactment until September 30, 2011, on the progress of projects and activities funded by this Act with respect to compliance with National Environmental Policy Act requirements and documentation.

Federal Highway Administration (FHWA) state reporting requirements

- [FHWA Form 1585 – Monthly Recipient Project Status Report](#): On this form the state will provide information on the status of all ARRA projects. This data will be used for meeting the reporting requirements of Sections 1201 and 1512. The report is due within 10 calendar days after the end of every month until September 2012.
- [FHWA Form 1586 – Initial ARRA Project Plan](#): This report contains state-provided information on each ARRA project. The list is consistent with the list of projects provided in the State's Section 1511 certification, as it may be amended. This data will be used for meeting the reporting requirements of Sections 1201 and 1512. The data will also be used to link together state DOT and FHWA-provided ARRA project data. The report is due by March 31, 2009. The state shall provide an additional list of projects within two weeks of the state issuing a new Section 1511 certification until September 2012.
- [FWHA Form 1587 – Monthly Summary Employment Report](#): State provided summary employment information for all active ARRA projects. This data will be used for meeting the reporting requirements of Sections 1201 and 1512. These reports are due to the FHWA no later than the 20th day of each month for the preceding month's data and will be filed every month until September 2012.

- [FHWA Form 1588 – Periodic Grouped Project Report](#): State provided information on one or more individual ARRA projects that are part of a previously awarded grouped or bundled project. This data will be used for meeting the reporting requirements of Sections 1201 and 1512. States are to provide the required information as individual projects are awarded. If a state has no grouped or bundled projects, then no report is necessary. If required, the reports must be filed within two weeks of the project being awarded.
- [FHWA Form 1589 - Monthly Employment Report](#): This form is a guide for state-, contractor- and subcontractor- provided employment information on each ARRA project. Monthly employment information on each ARRA project is used by the Iowa DOT for meeting Form 1587 reporting requirements. In order for the Iowa DOT to fulfill its reporting obligations, the department must collect and analyze certain employment data for each ARRA funded contract. The reports are due to the Iowa DOT no later than the 10th day of each month for the preceding month's data. The reports are due monthly until September 2012, or as determined by the state.
- [FHWA Form 1590 – Reporting Requirements for ARRA Forms](#): Instructions for filling out ARRA forms FHWA 1586, 1587, 1588, and 1589.

Federal Transit Administration (FTA) state reporting requirements

- **Financial Status Reports (FSR) and Milestone Progress Reports (MPR) and accompanying narrative reports**: All FTA grantees must submit these reports. Due quarterly, 10 days after the end of each quarter, starting with the first quarter that ends after the grant awarded. These are the same reports required in FTA Circular 5010 and the relevant program circulars.
- **Section 1201 (c) FTA Grantee Report**: Grantees to report the information specified in Section 1201(c), 90 days, 180 days, one year, two years, and three years after February 17, 2009, which DOT then transmits to Congress. The grantee's first report is due May 18. In order to obtain the project and contract level information from grantees efficiently, FTA expects to have a reporting system in place for 1201(c) data in time to prepare the first 90-day report.
- **Section 1512 FTA Grantee Report**: Reporting elements will be combined with the 1201(c) periodic reporting. First reports are due no later than July 10, covering the preceding calendar quarter of April, May and June. FTA will also expect reports for the quarter ending March 31, 2009, for the grants awarded before then. FTA will provide further reporting instructions and deadlines as they become available. FTA anticipates that OMB will provide reporting forms and instructions. FTA is working with the rest of DOT to consolidate 1201(c) and 1512 reporting elements in a central, automated reporting format. For each grant awarded, recipients will complete any of the data fields that cannot be pre-populated from TEAM and DELPHI, using a browser interface on the internet. FTA regions will communicate the reporting methodology and deadlines to grantees as soon as the information is available.

U.S. House of Representatives Transportation and Infrastructure (T & I) Committee state reporting requirements

- Iowa Department of Transportation-**Highway Infrastructure Investment T & I** Recovery Act April 4, 2009 Reporting Form – [Table 1](#) representing aggregate data for the entire state
- Iowa Department of Transportation-**Highway Infrastructure Investment T & I** Recovery Act April 4, 2009 Reporting Form – [Table 1](#) representing aggregate data for funds suballocated to **each** MPO serving a Transportation Management Area, pursuant to Section 133 of Title 23, United States Code (**reported separately for each MPO**)
- Iowa Department of Transportation-**Highway Infrastructure Investment T & I** Recovery Act April 4, 2009 Reporting Form – [Table 2](#) representing project-specific information for each project in the state
- Iowa Department of Transportation-**Transit Capital Assistance T & I** Recovery Act April 4, 2009, Reporting Form [Tables 1 and 2](#) (areas with populations of less than 200,000 people) (**NOTE: Transit agencies receiving direct appropriations from the FTA must file their own reports.**)

State certifications

- Certification, Infrastructure investment has received full review and vetting required by law and chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars, [Section 1511](#) – Filed by the DOT March 3, 2009 (**NOTE: direct recipients of FTA funds do not have to file section 1511 certificates. The state certification covers them.**)
- Certification, Maintenance of Effort, Sections [1201\(a\) and \(b\)](#) – Filed 3/19/09
- Certification, Accepting funds that will be used to create jobs and promote economic health, [Section 1607](#) - Filed March 17, 2009